Light Quasiparticles Dominate Electronic Transport in Molecular Crystal Field-Effect Transistors

Z. Q. Li,^{1,*} V. Podzorov,² N. Sai,^{1,3} M. C. Martin,⁴ M. E. Gershenson,² M. Di Ventra,¹ and D. N. Basov¹

¹Department of Physics, University of California, San Diego, La Jolla, California 92093, USA

²Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey 08854, USA

³Department of Physics, The University of Texas at Austin, Austin, Texas 78712, USA

⁴Advanced Light Source Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA

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We report on an infrared spectroscopy study of mobile holes in the accumulation layer of organic fieldeffect transistors based on rubrene single crystals. Our data indicate that both transport and infrared properties of these transistors at room temperature are governed by light quasiparticles in molecular orbital bands with the effective masses m^* comparable to free electron mass. Furthermore, the m^* values inferred from our experiments are in agreement with those determined from band structure calculations. These findings reveal no evidence for prominent polaronic effects, which is at variance with the common beliefs of polaron formation in molecular solids.

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A comprehensive understanding of charge transport in organic semiconductors poses a significant intellectual challenge and, at the same time, is crucial for further advances in the field of "plastic electronics" [1,2]. One long-standing problem pertains to the nature of the electronic excitations responsible for charge transport in these systems [3,4]. A commonly used description [3] is that the electrical current in these easily polarizable materials is carried by polarons: electrons or holes strongly coupled to local lattice deformations. A hallmark of the polaronic transport is a strong enhancement of the effective mass m^{\star} compared to the band values [3]. Therefore, the hypothesis of polaron formation in molecular crystals is verifiable since the effective masses of mobile charges can be directly probed in infrared (IR) spectroscopic measurements.

Here we report on IR spectroscopy studies of charge carriers in the conducting channel of organic field-effect transistors (OFET) based on single crystals of rubrene ($C_{42}H_{28}$, Fig. 1(b) inset), a small-molecule organic semiconductor [4–7]. These studies show that charge transport in rubrene-based OFETs at room temperature is dominated by light quasiparticles in the highest occupied molecular orbital (HOMO) band. New spectroscopy data along with band structure calculations help to elucidate recent observations of nonactivated, diffusive charge transport at the surface of high-quality molecular crystals in studies of single-crystal OFETs [4–7].

A schematic of the rubrene OFETs studied here is displayed in the inset of Fig. 1(a). In these devices, source and drain graphite or silver paint contacts were prepared on the surface of a rubrene single crystal followed by the deposition of approximately 1 μ m of parylene that serves as the gate insulator [5]. The gate-channel capacitance per unit area C_t in this type of device is ~2.1 nF/cm². For gate electrode, we used a 24-nm-thick layer of InSnO_x (ITO) with the electron density 5×10^{20} cm⁻³ and the sheet resistance 300 Ω /square, deposited by dc-magnetron sputtering in pure argon. The gate electrode covers the entire device area (up to 3×3 mm²). We studied the IR response of numerous OFETs with typical dc transport mobility 5 cm² V⁻¹ s⁻¹ at room temperature. The use of the semitransparent electrode ITO enabled spectroscopic studies of

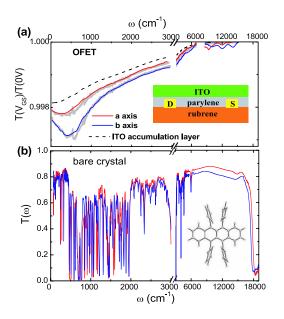


FIG. 1 (color online). (a) Voltage-induced changes of the transmission spectra $T(\omega, V_{GS})/T(\omega, V_{GS} = 0 \text{ V})$ for a representative rubrene OFET at $V_{GS} = -280 \text{ V}$ at 300 K. Thick gray lines: model spectra at -280 V obtained from a multilayer analysis as described in the text. Dash line: the contribution of the ITO accumulation layer to the raw $T(\omega, V_{GS})/T(\omega, V_{GS} = 0 \text{ V})$ spectra. Inset of (a) A schematic of the OFETs. (b) The $T(\omega)$ spectra of a bare rubrene crystal. Inset of (b) The molecular structure of rubrene.

the field-induced accumulation layer (AL) in rubrene from far-IR up to 2.2 eV, the band gap of rubrene. In our IR measurements, freestanding rubrene OFETs were illuminated with linearly polarized light over the frequency range $30-18\,000 \text{ cm}^{-1}$ (4 meV-2.2 eV) with a spectral resolution of 4 cm⁻¹ using a home-built setup for broad band microspectroscopy. We investigated the IR transmission of the OFETs, $T(\omega, V_{\text{GS}})$, as a function of frequency ω and the voltage applied between the gate and source electrodes, V_{GS} [8]. The source and drain electrodes were held at the same potential in most measurements.

We first examine the transmission spectra $T(\omega)$ of a bare rubrene single crystal [Fig. 1(b)], which are instructive for the understanding of the response of rubrene OFETs. The sharp absorption lines below 5000 cm⁻¹ originate from phonons. The abrupt suppression of $T(\omega)$ at about 18 000 cm⁻¹ is due to the lowest interband transition between HOMO and LUMO (lowest unoccupied molecular orbital) bands. The interband transitions, the phonon frequencies, and the overall transmission level are all anisotropic within the *ab* plane.

Figure 1(a) depicts the $T(\omega, V_{GS})/T(\omega, V_{GS} = 0V)$ spectra for a representative OFET at 300 K, obtained with the **E** vector along the a axis and b axis. Similar results were found in all devices we investigated. Under an applied gate voltage, a pronounced suppression of the transmission of the transistor is observed, which is stronger for the polarization of the *E* vector along the *b* axis of the rubrene crystal. The effect is most prominent at far-IR frequencies and peaked near 400 cm^{-1} . The form of $T(V_{GS})/T(0V)$ traces does not change appreciably with the applied voltage, whereas the magnitude varies nearly linearly with V_{GS} . These observations suggest that the changes of IR properties of our devices are intimately related to the formation of ALs both in the channel of the OFET and in the ITO gate electrode. Using IR microscopy [9] we have verified that the density of induced carriers is uniform along the channel of the OFETs. Therefore, the two-dimensional (2D) carrier density n_{2D} in our OFETs can be estimated as follows:

$$en_{\rm 2D} = C_t V_{\rm GS},\tag{1}$$

where e is the elementary charge.

In order to characterize the dynamical properties of the charges in the field-induced AL at the rubrene-parylene interface, it is imperative to extract the optical constants of this layer. We employed an analysis protocol that takes into account all the layers in our devices: (1) ITO, (2) AL at the ITO-parylene interface characterized by the 2D conductivity $\Delta \sigma_{\rm ITO}(\omega)$, (3) parylene, (4) AL at the rubrene-parylene interface with the 2D conductivity $\Delta \sigma_{\rm rub}(\omega)$, and (5) bulk rubrene crystal. The response of layers 1, 3, and 5 was assumed to be voltage independent, whereas layers 2 and 4 reveal voltage-dependent properties. We first evaluated the complex dielectric function $\hat{\epsilon}(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega) = 1 + 4\pi i \hat{\sigma}(\omega)/\omega$ for layers 1, 3, and 5 from a combination

of reflection, transmission, and ellipsometric measurements performed on layers with the same thicknesses as the ones used in the OFETs, as shown in Fig. 2. We then extracted $\Delta \sigma_{\rm ITO}(\omega)$ from the Drude model: $\Delta \sigma_{\rm ITO}(\omega) =$ $(n_{2D}e^2/m^*)[\gamma_D/(\gamma_D^2 + \omega^2)]$, where the relaxation rate $\gamma_D = 1300 \text{ cm}^{-1}$ and effective mass $m^* = 0.5m_e$ were determined from the measurements of ITO films, and carrier density n_{2D} of ITO AL was obtained from Eq. (1). Finally, we employed a multioscillator fitting procedure [10] to account for the contribution of $\Delta \sigma_{\rm rub}(\omega)$ to the transmission of the device calculated from standard methods for multilayered structures. The $\Delta \sigma_{\rm rub}(\omega)$ spectra extracted using this routine are shown in Fig. 3, whereas the $T(V_{\rm GS}=-280V)/T(0V)$ spectra generated from the multilayer model are plotted in Fig. 1(a). Alternatively, the response of our devices can be described by Eq. 3 in Ref. [11], which directly relates the raw $T(V_{GS})/T(0V)$ data to the 2D conductivity of the ALs. The $\Delta \sigma_{\rm rub}(\omega)$ spectra inferred from these two methods are in agreement within an accuracy of 10%, which is comparable to the error in the raw data. Figure 1(a) depicts the contribution of $\Delta \sigma_{\rm ITO}(\omega)$ to the raw $T(V_{\rm GS})/T(0V)$ data obtained by omitting the rubrene AL from the model, which shows that voltage-induced changes of the electron density in ITO result in a measurable change in the transmission. Note that the contribution of ITO to the $T(V_{GS})/T(0V)$ spectra has a monotonic frequency dependence in contrast to the nonmonotonic form of the overall transmission change of the device.

Figure 3 displays the optical conductivity spectra of the 2D system of field-induced quasiparticles at the rubreneparylene interface $\Delta \sigma_{rub}(\omega)$ for the polarization of the **E** vector along the *a* and *b* axes. Similar to the raw

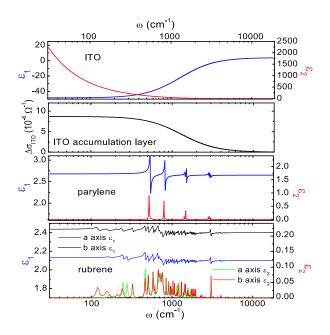


FIG. 2 (color online). Optical constants of all the constituent layers in rubrene OFETs including the conductivity of the ITO accumulation layer $\Delta \sigma_{\rm ITO}(\omega)$ at $V_{\rm GS} = -280$ V.

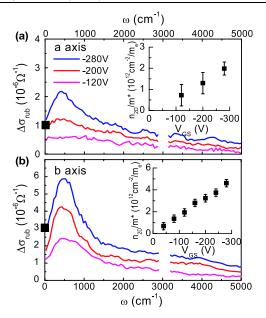


FIG. 3 (color online). The optical conductivity of the twodimensional system of field-induced charges at the rubreneparylene interface $\Delta \sigma_{\rm rub}(\omega)$ at different gate voltages $V_{\rm GS}$ at 300 K. (a) $E \parallel a$ -axis data. (b) $E \parallel b$ -axis data. Black squares on the left axes: dc conductivity at -280 V. Insets: the evolution of the spectral weight $n_{\rm 2D}/m^*$ with $V_{\rm GS}$.

 $T(V_{\rm GS})/T(0V)$ data, the conductivity spectra are characterized by a finite energy peak centered at around 400 cm⁻¹. At lower frequencies, $\Delta \sigma_{\rm rub}(\omega)$ decreases toward the dc value that was obtained independently in dc transport measurements. In the near-IR range, $\Delta \sigma_{\rm rub}(\omega)$ is negligibly small as shown in Fig. 1(a). In particular, no noticeable features were observed in $\Delta \sigma_{\rm rub}(\omega)$ at frequencies close to the band gap of rubrene. The anisotropy of the conductivity spectra is found throughout the IR range and extends to the dc limit. Importantly, $\Delta \sigma_{\rm rub}(\omega)$ remains finite with the temperature decreasing down to 30 K (not shown) in the entire IR range down to at least 80–90 cm⁻¹. We therefore conclude that no sizable energy gap opens up in the IR response of the AL formed by voltage-induced holes in rubrene.

The nonmonotonic form of the conductivity spectra in Fig. 3 can be qualitatively described by the localizationmodified Drude model that is commonly used to account for the IR properties of organic materials and other disordered conductors in the vicinity of metal-insulator transitions [12]. This description is not unique. We therefore will focus on the overall strength of the $\Delta \sigma_{\rm rub}(\omega)$ spectra, which allows us to evaluate the optical effective mass m^* of the field-induced quasiparticles using the modelindependent oscillator strength sum rule [13],

$$\frac{n_{\rm 2D}}{m^{\star}} = \int_0^{\Omega_c} \Delta \sigma_{\rm rub}(\omega) \, d\omega \tag{2}$$

The cutoff frequency Ω_c is chosen to be 5000 cm⁻¹ to accommodate the entire energy region where voltage-

induced changes are prominent. n_{2D}/m^* increases linearly with V_{GS} for both $E \parallel a$ and $E \parallel b$ data (insets in Fig. 3), which is consistent with the capacitive model Eq. (1) provided that m^* does not change within the range of applied biases. This agreement further justifies the use of Eq. (1)for extracting the charge density in the AL, which approaches 3.7×10^{12} cm⁻² at -280 V. The slopes of $n_{\rm 2D}/m^{\star}(V_{\rm GS})$ for the $E \parallel a$ and $E \parallel b$ measurements are different as shown in Fig. 3. This result in conjunction with Eqs. (1) and (2) yields the anisotropy of the effective mass: $m_a^{\star} = 1.9 \pm 0.3 m_e$ and $m_b^{\star} = 0.8 \pm 0.1 m_e$. The direct spectroscopic observation of the mass anisotropy elucidates the origin of the anisotropic electronic mobility $\mu =$ $e\tau/m^*$ observed in transport measurements [4–7]. Indeed the magnitude of the anisotropy of m^* is in good agreement with that of the mobility within experimental errors; this suggests that the anisotropic effective masses of mobile quasiparticles dominate the directional dependence of transport properties.

To understand the experimental data, we have carried out first-principles density functional theory (DFT) calculations of the band structure of rubrene within the generalized gradient approximation (GGA) [14] using the experimental lattice parameters [15], as displayed in Fig. 4. The orthorhombic unit cell has two *ab* planes separated by half a unit cell in the c direction, each containing two inequivalent rubrene molecules. We find, however, that the interactions between the *ab* planes in the unit cell are negligible. From fitting the top of the HOMO band with parabolic functions over different energy ranges along the Γ -X and Γ -Y directions, we obtained effective masses in the range of values $1.9m_e < m_a^{\star} < 2.8m_e$ and $1.0m_e <$ $m_b^{\star} < 1.3 m_e$ for hole carriers along the *a* and *b* directions, respectively. The DFT-GGA functional may also contribute to errors in the computed band masses. Nevertheless, the band mass values are overall comparable to those of the

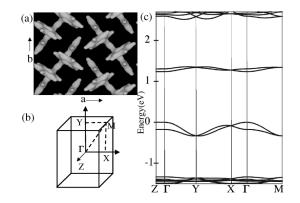


FIG. 4. (a) The charge density isosurface enclosing 40% of the total charges obtained from DFT, which illustrates the crystal structure in the *ab* plane with two inequivalent rubrene molecules arranged in a herringbone structure. (b) The reciprocal lattice; Γ -*X*, Γ -*Y*, and Γ -*Z* correspond to the *a*, *b*, and *c* crystalline direction. (c) The band structure of rubrene calculated using DFT-GGA.

field-induced quasiparticles inferred from IR measurements. The difference between the two could be due to the errors in these quantities as discussed above. Therefore, our IR study, along with band structure calculations, reveals no significant enhancement of the effective mass of the quasiparticles in rubrene OFETs compared to the band values.

Our IR studies of charge dynamics in rubrene OFETs and band structure calculations unveil several unexpected aspects of the quasiparticles in these systems. First, no lowenergy gap in the optical conductivity $\Delta \sigma_{\rm rub}(\omega)$ is observed in the whole IR range, suggesting that the fieldinduced quasiparticles reside in a continuum of electronic states extending both above and below the Fermi energy. The states involved in quasiparticle dynamics reflect the intrinsic electronic structure of rubrene with rather distinct values of overlap integrals in the a and b directions. This conclusion is attested by the anisotropy of transport properties, IR conductivity, and most importantly by the effective masses directly determined from IR data. Rather light effective masses of mobile quasiparticles once again point to the involvement of band states (within the HOMO band) in the electronic response in agreement with the band structure analysis. These findings suggest that the periodic potential of the molecular crystal lattice and the electronic band structure play a dominant role in charge dynamics even at room temperature. Because organic molecular crystals are periodic systems, the concept of energy bands in these systems at sufficiently low temperatures is not in dispute. However, in view of the weak intermolecular van der Waals bonds in these crystals, the long-range order may be disrupted by the thermally induced dynamic disorder [16]. Nevertheless, our results show that the band dispersion evaluated in the limit of $T \rightarrow 0$ provides an accurate account of transport and IR properties at room temperature. The notion of light quasiparticles in the HOMO band established through these findings is furthermore supported by recent observations of nonactivated, diffusive charge transport on the surface of high-quality molecular crystals [4-7], also suggesting the existence of extended electronic states.

Light effective masses comparable to band values reported here have not been foreseen by theoretical models commonly postulating very strong coupling between electronic and lattice degrees of freedom in molecular solids leading to the formation of small polarons even at room temperature. Small polarons are characterized by large masses of at least several times the band mass due to the coupling with lattice [3] in stark contrast with our observations. Therefore, our work indicates that polaronic effects in rubrene OFETs are weaker at room temperature than previously thought, and the charge transport can be adequately described by quasiparticles in the HOMO band. This assertion is furthermore supported by the frequency dependence of the optical conductivity. Polarons in organic systems (including OFETs) typically give rise to broad resonances in the absorption spectra in mid-IR frequencies [9] that are not detected in our data for rubrene-based transistors. We conclude that the polaron binding energies in rubrene must be below 26 meV, the energy that corresponds to room temperature. Future work will be aimed at establishing if polaronic effects in general, and enhancement of the effective mass, in particular, may be responsible for a rapid suppression of the conductivity below 140 K [6].

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*Electronic address: zhiqiang@physics.ucsd.edu

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